

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**

(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.  
O2MICRO 00.17

In Re Application Of: CHAN ET AL

Serial No.  
09/921,171

Filing Date  
AUGUST 2, 2001

Examiner

Group Art Unit  
2644

Title: **LOW POWER DIGITAL AUDIO DECODING/PLAYING SYSTEM FOR COMPUTING DEVICES**

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Address to:  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

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**37 CFR 1.97(b)**

1. ☒ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

**37 CFR 1.97(c)**

2. ☐ The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:

☐ the statement specified in 37 CFR 1.97(e);

**OR**

☐ the fee set forth in 37 CFR 1.17(p).

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**  
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.  
**02MICRO 00.17**

In Re Application: **CHAN ET AL**

Serial No.  
**09/021,171**

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**2644**

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**Payment of Fee**

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

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- ☐ A check in the amount of \_\_\_\_\_ is attached.
- ☒ The Director is hereby authorized to charge and credit Deposit Account No. **50-2121** as described below.
- ☐ Charge the amount of \_\_\_\_\_
- ☐ Credit any overpayment.
- ☒ Charge any additional fee required.

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**Certificate of Mailing by First Class Mail**

I certify that this document and fee is being deposited on **MARCH 10, 2004** with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

*April Davis*  
Signature of Person Mailing Correspondence

**APRIL DAVIS**

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**\*This certificate may only be used if paying by deposit account.**

*Edmund P. Pfleger*  
Signature

Dated: **MARCH 10, 2004**

**Edmund P. Pfleger**

**Reg. No. 41,252**

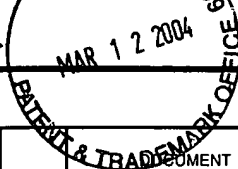
**Grossman Tucker Perreault & Pfleger, PLLC**

**55 South Commercial Street**

**Manchester, NH 03101**

CC:

**INFORMATION DISCLOSURE CITATION**  
(Use several sheets if necessary)



ATTY DOCKET NO.  
**O2MICRO 0001**

SERIAL NO.  
**09/921,171**

**CHAN ET AL**

FILING  
**AUGUST 2, 2001**

GROUP  
**2644**

**U.S. PATENT DOCUMENTS**

*EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
1	6,332,175	12/18/2001	BIRRELL ET AL	711	112	
2	6,446,073	9/3/2002	D'AMATO ET AL	707	101	
3	6,377,530	4/23/2002	BURROWS	369	59.21	
4	5,819,116	10/6/1998	MAUPIN ET AL	395	888	
5	5,790,875	8/4/1998	ANDERSIN ET AL	395	750.03	
6	6,006,337	12/21/1999	KOO	713	324	
7	5,822,598	12/13/1998	LAM	395	750.06	
8	6,226,237	5/1/2001	CHAN ET AL	369	33	
9	6,292,440	9/18/2001	LEE	369	7	
10	6,412,075	6/25/2002	KLEIN	713	322	
11	4,851,K987	7/25/1989	DAY	364	200	

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**FOREIGN PATENT DOCUMENTS**

	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
						YES	NO

**OTHER DOCUMENTS** (Including Author, Title, Date, Pertinent Pages, Etc.)


**EXAMINER**

**DATE CONSIDERED**

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<b>INFORMATION DISCLOSURE CITATION</b> <i>(Use several sheets if necessary)</i>				ATTY DOCKET NO. <b>O2MICRO 0007</b>		SERIAL NO. <b>09/921,171</b>	
				CHAN ET AL			
				FILING <b>AUGUST 2, 2001</b>		GROUP <b>2644</b>	

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 PATENT & TRADEMARK OFFICE

U.S. PATENT DOCUMENTS							
EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE	
	12	5,634,798	6/3/1997	SUH	434	307	
	13	5,646,699	7/8/1997	OH ET AL	348	553	
	14	5,167,024	11/24/1992	SMITH ET AL	395	375	
	15	6,378,077	4/23/2002	ATKINSON	713	324	
	16	5,560,024	9/24/1996	HARPER ET AL	395	750	
	17	5,511,203	4/23/1996	WISOR ET AL	395	750	
	18	5,218,704	6/8/1993	WATTS, JR. ET AL	395	750	
	19	5,142,684	8/25/1992	PERRY ET AL	395	750	
	20	6,393,499	5/21/2002	CHAIKEN ET AL	710	19	
	21	5,692,197	11/25/1997	NARAD ET AL	395	750	
	22	6,385,734	5/7/2002	ATKINSON	713	324	

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FOREIGN PATENT DOCUMENTS								
DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION			
					YES	NO		
23	EP 0825519 A1	2/25/1998	EPO	1	26			
24	EP 0945778 A2	9/29/1999	EPO	1	32			
25	EP 0825519 A1	2/25/1998	EPO	1	26			

OTHER DOCUMENTS <i>(Including Author, Title, Date, Pertinent Pages, Etc.)</i>			

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